

Atty. Docket No.: 15675,#382

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Dominique Morche

Application No.: 09/980,027

Filed: November 26, 2001

For: A BANDPASS FILTER WITH CARRIER

FREQUENCY REDUCTION

Examiner:

RECEIVED

Art Group:

MAY 1 4 2002

**Technology Center 2600** 

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Pursuant to Rule 1.97, Applicant desires to make of record the reference(s) set forth on the attached Form PTO 1449 which were cited in a search report dated October 5, 2000. Enclosed is a copy of the search report which indicates the degree of relevance found by the foreign office where documents cited as category X are deemed relevant when taken alone; documents cited as category Y are deemed relevant when taken in combination with another reference; documents cited as category T are directed to the theory or principle underlying the invention; and documents cited as category A are deemed relevant as technological background. It is noted that the column labeled "Categorie" translates to Category, the column "Citation du document avec indication, en cas de besoin, des parties pertinentes" translates to citation for document with indication, where appropriate, of relevant passages and the column labeled "Revendications concemees de la demande examinee" translates to relevant to claims. A copy of each reference is submitted herewith.

Also included is French patent application 95/05847 discussed in the specification page 1 line 32 continuing to page 2.

It is hereby stated that this Information Disclosure Statement is being filed prior to the issuance of the initial Office Action, therefore no petition or fee is required. However, in the event a petition is needed for consideration of this Information Disclosure Statement, Applicant hereby so petitions. Please charge any additional fee due to Deposit Account 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

12400 Wilshire Boulevard, Seventh Floor

Los Angeles, California 90025

(310) 207-3800

Eric S. Hyman Reg. No. 30,139

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

Melissa Stead

Melissa Stea

Date

Signature

TRANSMITTAL FORM (to be used for all correspondence after initial filing)  Total Number of Pages in This Submission  24		ction Act of 1995, no perso	ns are required to re	spond to a collection of information	n unless it displays a valid OMB	control number.		
TRANSMITTAL FORM (to be used for all correspondence after initial filing)  First Named Inventor  Group Art Unit  Examiner Name  RECE IVED  Total Number of Pages in This Submission  Z4	CE ME			Application Number	09/980,027	٠		
Total Number of Pages in This Submission   24				Filing Date	November 26, 2001			
Total Number of Pages in This Submission   24				First Named Inventor	Dominique Morche			
Total Number of Pages in This Submission   24   Attorney Docket Number   15675p382   MAY 1   4 2002	(to be used for all correspondence after initial filing)		uai illiigj	Group Art Unit				
ENCLOSURES (check all that apply)  Fee Transmittal Form  Assignment Papers Astigned Appeal Communication to Group (Appeal C				Examiner Name		RECUIVED		
Assignment Papers (for an Application)	Total Number of Pages in This Submission 24			Attorney Docket Number	15675p382	MAY 1 4 2002		
Fee Attached			ENCLOS	SURES (check all tha	t apply)	Technology Center 2600		
Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  After Final Aftidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Cocument(s) Response to Missing Parts/Incomplete Application Remarks  SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  Signature  Licensing-related Papers Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below):  Prior Art References (6); Rapport De Recherche Preliminaire, Rapport De Rechereche Internationale; Form 1449; return postcard  SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  Firm Or Individual name  BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  Signature  Date	Fee Transmittal	Form	Assignm (for an A	nent Papers Application)		mmunication		
After Final After Final Aftidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/Incomplete Application Remarks  Signature  After Final Aftidavits/declaration(s) Petition Petition Proprietary Information Status Letter  Other Enclosure(s) (please identify below):  Other Enclosure(s) (please identify below):  Prior Art References (6); Rapport De Recherche Preliminaire, Rapport De Rechereche Internationale; Form 1449; return postcard  Firm Or Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  Signature  Date	Fee Attach	ned	Drawing	(s)	Appeal Communication of Appeals and Interest	ition to Board erferences		
Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Remarks  Signature  Signature  Petition  Petition to Convert a provisional Application  Power of Attorney, Revocation  (please identity below):  Prior Art References (6);  Rapport De Recherche  Repeliminaire: Rapport De Recherche  Preliminaire: Rapport De Recherche  Remarks  Remarks  Signature  Signature  Prior Art References (6);  Rapport De Recherche  Preliminaire: Rapport De Recherche  Preliminaire: Rapport De Recherche  Previsional Application  Convert a provisional Application  Convert a provision Andrews  Convert a provision Andrews  Convert a provision Andrews  Convert a provision Andrews  Convert a provision  Convert a provision Andrews  Convert a provision  Convert a provision		•	Licensin	g-related Papers	Appeal Communica (Appeal Notice, Brief,	ition to Group Reply Brief)		
Express Abandonment Request    Power of Attorney, Revocation Change of Correspondence Address   Cherified Copy of Priority			Petition		Proprietary Informa	ation		
Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Remarks  SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  Firm Or Individual name  BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  Signature  Date  Other Enclosure(s) (please identify below):  Rapport De Recherche Preliminaire; Rapport De Rechereche Internationale; Form 1449; return postcard  BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN	Extension of Ti	me Request	Petition Provision	Petition to Convert a Provisional Application				
Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Remarks  SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  Firm or Individual name  BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  Signature  Date	_		Power o Change					
Remarks  SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  Firm Eric S. Hyman, Reg. No. 30,139  or Individual name  BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  Signature  Date	Certified Copy of Priority Document(s)		Request	for Refund	Rapport De Rechero Preliminaire; Rappo	che ort De		
Response to Missing Parts under 37 CFR 1.52 or 1.53  SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  Firm Eric S. Hyman, Reg. No. 30,139  or Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  Signature Date	Incomplete App	olication	_ <del></del>	nber of CD(s)	1449; return postcar	d		
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Firm Eric S. Hyman, Reg. No. 30,139 or Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  Signature Date 3/c/or								
Firm or Individual name Eric S. Hyman, Reg. No. 30,139 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  Signature 2/c/or		SIGNATUR	E OF APPLIC	ANT ATTORNEY OR	AGENT			
or Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  Signature 2/c/or	Firm				***************************************			
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Typed or printed name Melissa Stead	Typed or printed name	Melissa Ste	ad _		13802			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Date

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

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Petent fees are subject to annual revision

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

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Соп	plete if Known		1
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Filing Date	11/26/01	חבסבוו/	$\vdash$
First Named Inventor	Dominique Morche	RECEIV	En
Examiner Name			1
Group Art Unit		MAY 1 4	1002
Attorney Docket Number	15675n382		]

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Deposit Account		Fee	Fee	Fee	Fee Description	Fee Paid	
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Account Number 02-2666	105 127		205		Surcharge - late filing fee or oath		
Deposit Account Blakely, Sokoloff, Taylor & Zafman		50	227	25	Surcharge - late provisional filing fee or cover sheet.		
Name The Commissioner is authorized to: (check all that apply)	139	130	139	130	Non-English specification		
The Commissioner is authorized to: (check all that apply)	147	2,520	147	2,520	For filing a request for ex parte reexamination		
Charge fee(s) indicated below Credit any overpayments	112	920	112		Requesting publication of SIR prior to		
Charge any additional fee(s) during the pendency of this application.					Examiner action		
Charge fee(s) indicated below, except for the filing fee, to the above-identified deposit account	113	1,840	113	1,840	Requesting publication of SIR after Examiner action		
	115	110	215	55	Extension for response within first month		
FEE CALCULATION	116	400	216	200	Extension for response within second month		
1. FILING FEE	117	920	217	460	Extension for response within third month		
Large Entity Small Entity	118	1,440	218	720	Extension for response within fourth month		
Fee Fee Fee Fee Description Fee Paid	128	1,960	228	980	Extension for response within fifth month		
Code (\$) Code (\$)	119	320	219	160	Notice of Appeal		
101 740 201 370 Utility filing fee	120	320	220	160	Filing a brief in support of an appeal		ļ
106 330 206 165 Design filing fee	121	280	221	140	Request for oral hearing		l
107 510 207 255 Plant filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding		
108 740 208 370 Reissue filing fee	140	110	240	55	Petition to revive - unavoidably		
114 160 214 80 Provisional filing fee	141	1,280	241	640	Petition to revive - unintentionally		
	142	1,280	242	640	Utility issue fee (or reissue)		
SUBTOTAL (1) (\$)	143	460	243	230	Design issue fee		
2. CLAIMS Fee from	144	620	244	310	Plant issue fee		l
2. CLAIMS Fee from Extra below Fee Paid	122	130	122	130	Petitions to the Commissioner		1
Total Claims 11 - = X =	123	50	123	50	Petitions related to provisional applications		
Independent 2 - = X = =	126	180	126	180	Submission of Information Disclosure Stmt		İ
Multiple Dependent Claims =	581	40	581	40	Recording each patent assignment per property (times number of properties)		
Large Entity Small Entity	146	740	246	370	Filing a submission after final rejection		
Fee Fee Fee Fee Description Code (\$) Code (\$)					(37 CFR 1.129(a))		
103 18 203 9 Claims in excess of 20	149	740	249	370	For each additional invention to be		
102 84 202 42 Independent claims in excess of 3	170	740	070	070	examined (37 CFR 1.129(b))	<b></b>	
104 280 204 140 Multiple Dependent claim	179		279		Request for Continued Examination (RCE)	<b></b>	
109 84 209 42 Reissue independent claims	169	900	169	900	Request for expedited examination of a design application		
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SUBMITTED BY Complete (if applicable)						
Typed or Printed Name	Eric S. Hyman, Reg. No. 30,139	Registration No. (Attorney/Agent)	Telephone	(310) 207-3800		
Signature	EA)		Date	3/1/02		